



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No. 10/676,307  
Filing Date September 30, 2003  
First Named Inventor Gaurab Banerjee  
Art Unit 2817  
Examiner Name unknown  
Attorney Docket Number 42P16088

Total Number of Pages in This Submission 10

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☒ PTO/SB/08

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Basic Filing Fee

☐ Declaration/POA

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

-Copy of PCT Search Report  
-1 Cited Reference  
-Return postcare

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Gregory D. Caldwell, Reg. No. 39,926

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

March 4, 2005

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name **Rachael L. Brown**

Signature

Date

March 4, 2005

# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number 10/676,307  
Filing Date September 30, 2003  
First Named Inventor Gaurab Banerjee  
Examiner Name unknown  
Art Unit 2817  
Attorney Docket No. 42P16088

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):

☐ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☐ Credit any overpayments

## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
SUBTOTAL (2)					(\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No.	39,926	Telephone	(503) 439-8778
Signature		Date	03/04/05		



Docket No.: 42P16088

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GAURAB BANERJEE, ET AL.

Application No.: 10/676,307

Filed: September 30, 2003

For: **STRAINED-SILICON VOLTAGE CONTROLLED  
OSCILLATOR (VC0)**

Art Group: 2817

Examiner: unknown

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated January 21, 2005 (copy enclosed herewith) in a counterpart PCT application, which was forwarded to Applicant's Representative in a communication dated February 15, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 04, 2005

Gregory D. Caldwell, Reg. No. 39,926

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Los Angeles, CA 90025  
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*Rachael L. Brown*

03-04-05

*Date*



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2

**Complete if Known**

Application Number 10/676,307  
Filing Date September 30, 2003  
First Named Inventor Gaurab Banerjee  
Art Unit 2817  
Examiner Name unknown  
Attorney Docket Number 42P16088

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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- |   |  |   |  |
|---|--|---|--|
| 3 |  | HWANG J R ET AL, "Performance of 70nm Strained-Silicon CMOS Devices", 2003 Symposium on VLSI Technology. Digest of Technical Papers, (IEEE Cat. No. 03CH37407), June 10 2003, pgs. 103-104, XP002314312, Japan. |  |
| 4 |  | International Search Report, International App. No. PCT/US2004/032460, Applicant Ref. No. P16088PCT, Completion Date: 1/21/05, Date Mailed: 2/15/05   |  |

Examiner  
Signature

Date  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Applicant's unique citation designation number: Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakey, Sokoloff, Taylor & Zafman (w/r) 08/11/2003.  
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